

)DOO
,QWHUQVKLS ,17 (QUROOPHQW &KHF

&RPSOHWHG 6WXGHQW /HDUQLQJ \$JUHHPHQW DQG DOO UHTXL
&DUHHU &HQWHU F&HHLVRIQD LHCSDM VGD\ 2FWREHU 7K
SURFHVV ,17 HQURO_OPHQW XSRQ UHFHLSW RIDOO UHTXLUHG C

7KHUH ZLOO QRW EH DQ\ ODWH 6WXGHQW /HDUQLQJ

&RPSOHWH ,17 3DFNHW ,QFOXGHV

FX>,QWHUQDWLPp &RPSQD&G` H•Q't" €p0 ð €"xiàRQ

FX/LVW ,17 6WDUW DQG (QG 'DWHV 3DJH

FX&RPSOHWH ,QWHUQVKLS 6LWH ,QIRUPDWLRQ 3DJH

FX&RPSOHWH /HDUQLQJ 2EMHFWLYHV 6WUDWHJLHV IRU &RPS

FX6LJQH 6WXGHQW /HDUQLQJ \$JUHHPHQW 3DJH

FX,QWHUQVKLS 6XSHUYLVRU 6LJQDWXUH 3DJH

FX6LJQH 6WXGHQW :DLYHURI /LDELOLW\ DQG \$VVXPSWLRQR

FX,QWHUQVKLS -RE 'HVFULSWLRQRU 3RVWLQJ

FX,QWHUQVKLS 2IIHU /HWWHU

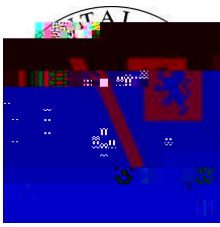
FX>6XPPHU 2QO\@ 2IILFH RI WKH 5HJLVWUDU ² 6XPPHU 5HJLVW

6XEPLWWLQJ)RUPV (OHFWURQLFDOO\

6WXGHQWV UHPDLQ UHVSQRVLEOH IRU FROOHFWLQJ WKH QHFHVVDU\ VLJQ
SURFHVV DUHTXHVV ZLWKRXW WKH QHFHVVDU\ DSSURYDO V 3OHDVH QR

6WXGHQWV DUHH [SHFWHG WR FRPSOHWH WKH IRUP DQG VHQG LW WR WKHL
GRFXPHQW DQG UHWXUQ WKH IRUP DV DQ DWWDFKPHQW WR WKH VWXGHQW

2QFH WKH ,17 6WXGHQW /HDUQLQJ \$JUHHPHQW LV FRPSOHWH LQFOXG
6XPPHU 5HJLVWUDWLRQ)RUP LIDSSOLFDEOH VHQG DOO GRFXPHQWV LQ



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2FFLGHQWDO &ROOHJH↑V &DUHHU 6HUYLEFHV RIILFH PXVW DSSURYH DOO LQWHU
SDUWFLSDWHLQ DILQDO UHIOHFWLYH DQG HYDOXDWLYH FRPSRQHQW ZLWK &D
KDYHVRSKRPRUH MXQLRU RU VHQLRU VWDWXV 6WXGHQWV FDQ RQO\ EHUHJLV



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& 678'(17/(\$51,1*2%-307,9V6) SULQW DQG RU DWDFK UHVSQRVHV

7KHLQWHUQVKLS VXSHUYLVRU DQG VWXGHQW VKRXOG PXWXDOOW DVUHHFRSPGQKHKRVM
\RXU,QWHUQVKLS 6XSHUYLVRU DZQLQJ F R P S O H W L Q H W R X W O H G W G R Q U H F D Q V H U Y H D V D E D
/HDUQLQJ 2EMHFWLYHV /2 3OHDVH DUWLFXODWHEHORZ ZKDW \RX KRSH WR OHDUQLQJ \\
/HDUQLQJ 2EMHFWLYHV SURYLGHLQIRUPDWLRQ WR WKHLQWHUQVKLS WRSOHUWUQRUQWRG
SHUIRUPLQJ WKH WDVN V6HUTXWUQG/RIDWQH Q Q ZRNUQVWLSHV

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+RZGRHV WKHLQWHUQVKLS UHODWH WR \RXU DFDGHPLF SURJUDPRI VWXG\ DQG SURIHV

6WUDWHJLHV IRU &RPSOHWRZQLDIOZRNKFFDWLXHXV6&RXU OHDUQLQJ REMHFWLYHV",GHQW
GHSDUWPHQWV WR PHHW ZLWKRUVKDGRZHWF SOHDVH DWDFK IRUPDO LQWHUQVKLS

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UHOHYDQW RUJDQLJDWLRQDOSROLFLHV SURFHGXUHV DQG FOXPHWVRQV DOLG WRKHSSDURS
P\LQWHUQVKLS VXSHUYLVRU LI ,I QHFLGHGWRFGUWS ZLWK GOWE UQV KWS H FRXUVH WKURXJK
,ZLOO FRPSOHWH UHTXLUHG IRUPV DQG VXEPLW QHFHVVDU\ GRFXPHQWV LQFOXGLQJ D
UHFRUGHG &UHGLW FDQQRW EHLVVXHG XQWLO \$// UHTXLUHG GRFXPHQW DWRQLV VXE

6WXGHQW 6LJQDWXUH

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'DWH

3OHDVH QRWLI\ WKH &DUHHU 6HUylfHV RIILFH LI DQ\ GLIILFXOWLHV DUH H[SHULHQFHG Z
RU SHUIRUPDQFH LQ WKHLQWHUQVKLS

,QWHUQVKLS 6XSHUYLVRU
3OHDVH QRWH WKDW WKH IROORZLQJ LV UHTXLUHG GLVWRU DQG DGMJSDQLRQV DQG LQWHUQ
D &RQIHU UHJXODUO\ ZLWK WKHLQWHUQ DQG WKHLU IDFXOW\ VXSHUYLVRU DQG RU
E 7UDLQ WKHLQWHUQ DV UHTXLUHG DQG RULHQW WKHP WR WKH RUJDQLJDWLRQ\ V S
F 0DNH QHFHVVDU\ HTXLSPHQW VXSSOLHV DQG VSDFH DYDLODEOH IRU WKHLQWHUQ
G \$UUDQJH D ZHHNO\ ZRUN VFKHGXOH WKDW FDQ DFFRPPRGDWH WKHLQWHUQ\ V DFD
H 3URYLGH WKH &DUHHU 6HUylfHV RIILFH ZLWK D ILQDO ZULWWHQ SHUIRUPDQFH HY
WR WKH)DFXOW\ 6XSHUYLVRU E\ 7KH HIE R D D HYDOXDWRQLV

&UHGLW FDQQRW EHLVVXHG XQWLO \$// SDSHUZRUN DQG UHTXLUHG HY

,KDYH GLVFXVVG WKHLQWHUQVKLS ZLWK WKHLQWHUQ DQG KDYH QHJRWLDWHG DQG DV
WKLVDJUHHPHQW ,IXUWKHU DJUHH WR EH DYDLODEOH IRU FRXQVHO DQG DGYLFH IRU WH

,QWHUQVKLS 6XSHUYLVRU 6LJQDWXUH 3OHDVH 3ULQW

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DSSURSULDWH WR YLVLW WKH VLWH DQG RU FRQYHUVH ZLWK WKH ,QWHUQVKLS VXS

&DUHHU 6HUYLEFHV 6LJQDWXUH

3OHDVH 3ULQW

'DWH

&XPXODWLYH *3\$

RU EHWWHU LV UHTXLUHG DW WKH WLPH RIDSSOLFDM

/DVW GD\ WR VXEPLW IRUP WR +&& LV :HGQHVG D\ 2FWREHU

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DPD VWXGHQW DW 2FFLGHQWDO &ROOHJH
VHPHVWHU DW WKHIROORZLQJ

GXUL DOO 6SULQJ 6XPPHU

,QWHUQVKLS 6LWH

&LW\ 6WDWH SOHDVHLQGLFDWH

2FFLGHQWDO &ROOHJH GRHV QRW FRQWURO WKHZD\LQ ZKLFK WKHLQWHUQVKL
FUHGLW IRU WKLV LQWHUQVKLS WKH &ROOHJH DIILUPV WKDW WR WKHEHVW RIL
VWXGHQWV LQ DOLEHUDO DUWV SURJUDP RIVWXG\DQG ZRUWK\RI 2FFLGHQWDO

6WXGHQW :DLYHU)RUP 2 3DJH

6WXGHQW 1DPH _____

, DFNQRZOHGJH WKDW DOO ULVNV FDQQRW EH SUHYHQWHG DQG VRPH ULVNV FRX
LQFOXGLQJ GHDWK , DJUHH WR DVVXPH WKRVH ULVNV ZKHWKHU IRUHVHHQ RU X
H[SUHVVO\ DVVXPH WKDW WKH UHVSQRVLELOLW\ RI HGXFDWLQJ P\ VHOI WR WKH
ULVNV ERWK NQRZQ DQG XQNQRZQ

, RQ EHKDOI RIP\ KHLUV DVVLJQV UHSUHVHQWDWLYHV H[HFXWRUV RU DGPL
RIILFHUV ERDUG PHPEHUV HPSOR\HHV DGYLVRUV DJHQWV RU UHSUHVHQWDW
RU LOOQHVV LQFOXGLQJ EXWQRW OLPLWHG WR GHDWK WKDW PD\ UHVXOW IU
GHSDUWXUH RU IROORZLQJ P\ UHWXUQ

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'\$ JHQHUDO UHOHDVH GRHV QRW H[WHQG WR FODLPV ZKLFK WKH FUHGLWRU
H[HFXWLQJ WKH UHOHDVH ZKLFK LI NQRZQE\ KLP PXVW KDYH PDWHULDOO\

, ZDLYH WKH SURYLVLRQV RI WKLW VWDWXWH DQG DQ\ VLPLODU SURYLVLRQ RI W

, KDYH UH DG WKH IRUHJRLQJ DQG VLJQ WKLW DV DQ DFW RI P\ RZQ IUHH ZLOO ZLW

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/H DUQLQJ 2EMHFWLYHV KHOS \RX IRFXV R Q E Z H B R W H W D B W H R W R J Q D V H Q J Q R P L S X 7 U K
LQGLUHFWO \UHODWHG WR \RXU FRXUVH R I V W X G \ /H DUQLQJ 2EMHFWLYHV SURY
DQG VNLOOV W R W R V O H D U Q L Q D G G L W L R Q W R S H U I R U P L Q J W K H W D V N V U H T X L U H G
DFTXLUH ZKDW LQWHOOFWXDO R U I X Q F W L R Q D O V N L O O V \R X K R S H W R G H Y H O R
SHUVRQDO YDOXH V L S R & R I G N H W Z H V S O R D H , Q W H U Q V K L S 6 X S H U Y L V R U W K D W \R X U
V X F F H V V I X O O \D F K L H Y H W K H P E H I R U H W K H H Q G R I \R X U L Q W H U Q V K L S D V V L J Q P H

:ULWLQJD /H DUQLQJ 2EMHFWLYH

%H O R Z D U H N H \D U H D V W R I R F X V R Q Z K H Q Z U L W L Q J \R X U /H DUQLQJ 2EMHFWLYHV

\$.Q R Z O H G J H W R E H D F T X L U H G

5HJDUGLQJRQH V DFDGHPLF PDMRU L H W K H R U L H V S U D F W L F H V
5HODWHG WR D S D U W L F X O D U E X V L Q H V V L Q G X V W U \ R U R S H U D W L R Q D O
5H O H Y D Q W W R F D U H H U G H Y H O R S P H Q W

% 6NLOOV W R E H G H Y H O R S H G E R W K L Q W H O O H F W X D O D Q G I X Q F W L R Q D O

\$ Q D O \W L F D O V N L O O V I R U R Q H V D F D G H P L F P D M R U
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, Q W H U S H U V R Q D O V N L O O V W R L Q W H U D F W V X F F H V V I X O O \R Q W K H M R E

& 3U R E O H P V W R E H V R O Y H G

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' H W D L O V W R X Q G H U V W D Q G D E R X W R Q H V Z R U N D V V L J Q P H Q W
4 X H V W L R Q V W R U H V R O Y H D E R X W R Q H V S H U V R Q D O L Q W H U H V W V

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